TFW 2134

Application No. 09/853,770 TRANSMITTAL FORM Filing Date May 11, 2001 (to be used for all correspondence after initial filing) First Named Inventor Satoshi Shigematsu Art Unit 2134 **Examiner Name** Tran, Ellen C Total Number of Pages in This Submission 10 Attorney Docket Number 96790P355 **ENCLOSURES** (check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to TC Amendment / Response Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert a Provisional Application After Final Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund return postcard; copy of JP Information Disclosure Statement communication; 2 prior art PTO/SB/08 references CD, Number of CD(s) Certified Copy of Priority Document(s) Landscape Table on CD Response to Missing Parts/Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Eric S. Hyman, Reg. No. 30,139 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Kumiko Alexander

Typed or printed name

Signature

Complete if Known		
Application Number	09/853,770	
Filing Date	May 11, 2001	
First Named Inventor	Satoshi Shigematsu	
Examiner Name	Tran, Ellen C	
Art Unit	2134	
Attorney Docket No.	96790P355	
	Application Number Filing Date First Named Inventor Examiner Name Art Unit	Application Number 09/853,770 Filing Date May 11, 2001 First Named Inventor Satoshi Shigematsu Examiner Name Tran,Ellen C Art Unit 2134

METHOD	OF PAY	MENT (c	heck all	that apply)				
Check [Credit ca	ard M	loney Or	rder None Other (please identify):				
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP								
Cha	For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee							
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1051	130	2051	` '	Surcharge - late filing fee or oath				
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2053	130	2053	130	Non-English specification				
1251	120	2251	60	Extension for reply within first month				
1252	450	2252	225	Extension for reply within second month				
1253	1,020	2253		Extension for reply within third month				
1254	1,590	2254		Extension for reply within fourth month				
1255	2,160	2255		Extension for reply within fifth month				
1401	500	2401		Notice of Appeal				
1402	500	2402		Filing a brief in support of an appeal				
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1460	130	2460	130	Petitions to the Commissioner				
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
1806	180	1806	· 180	Submission of Information Disclosure Stmt	.,			
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))				
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))				
Other for	ee (spe	cify)						
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1	SUBMITTED B	Υ				Com	olete (if applicable)
	Name (Print/Type)	Eric S. Hyman	GA	Registration No. (Attorney/Agent)	30,139	Telephone	(310) 207-3800
	Signature		Ch			Date	2/1/06

Docket No.: 96790P355

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SATOSHI SHIGEMATSU, ET AL.

Application No.: 09/853,770

Filed: May 11, 2001

For: AUTHENTICATION TOKEN AND

AUTHENTICATION SYSTEM - UTILITY



Art Group: 2134

Examiner: Tran, Ellen C

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated November 22, 2005 (copy enclosed herewith) in a counterpart which was forwarded to Applicant's Representative in a communication dated November 29, 2005.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

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Date:	•	

Respectfully submitted,

BLAKELY, SOKOMEF, TAYLOR & ZAFMAN LLP

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Kumiko Alexander

Date

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Substitute	for form 1449A/PTO	7	- To	\	Complete if Known
INITO	DMATION D	1001	2000 July 1906	pplication Number	09/853,770
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STAT	EMENT BY	APPL	L&ANT &	First Named Inventor	Satoshi Shigematsu
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Sheet	1	of	2	Attorney Docket Number	96790P355

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
Hillais	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear		
		JP08-110923	04-30-1996	Toshiba Corp			
		JP2000-137774	05-16-2000	Matsushita Electronics Industry			
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'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

Complete if Known Substitute for form 1449A/PTO Application Number 09/853,770 **INFORMATION DISCLOSURE** May 11, 2001 Filing Date STATEMENT BY APPLICANT First Named Inventor Satoshi Shigematsu Art Unit 2134 (use as many sheets as necessary) **Examiner Name** Tran, Ellen C 2 of Attorney Docket Number Sheet 96790P355

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
							
Examine	r l	Date					

Signature Date Considered

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